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FORM**

(to be used for all correspondence after initial filing)

Application Number 09/868,695

Filing Date September 26, 2004

First Named Inventor Rosenfeld, et. al.

Group Art Unit Unassigned

Examiner Name Unassigned

Attorney Docket Number 05222.00171

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Total Number of Pages in This Submission

ENCLOSURES (check all that apply)☒ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/ Incomplete Application☐ Response to Missing Parts under 37 CFR 1.52 or 1.53☐ Assignment Papers (for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a Provisional Application☐ Power of Attorney, Revocation Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals and Interferences☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify below):

94 References

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Remarks

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or
Individual nameKenneth F. Smolik
Banner & Witcoff, Ltd.

Signature

Kenneth F. Smolik

Date

April 29, 2004

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**FEE TRANSMITTAL
for FY 2003**

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180**Complete if Known**

Application Number 09/868,695

Filing Date September 26, 2000

First Named Inventor Rosenfeld

Examiner Name Bell

Art Unit 2121

Attorney Docket No. 005222.00171

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METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None
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☒ Charge fee(s) indicated below ☒ Credit any overpayments
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Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 0)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
	0		0
Independent Claims			0
Multiple Dependent			0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0)

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FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) Publication Fee

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 180)

SUBMITTED BY**Complete (if applicable)**

Name (Print/Type)

Kenneth F. Smolik

Registration No. Attorney/Agent)

44,344

Telephone

312-463-5000

Signature

Kenneth F. Smolik

Date

April 29, 2004

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UNITED STATES PATENT AND TRADEMARK OFFICE
ACKNOWLEDGEMENT RECEIPT

Electronic Verification 1.1

Stylesheet Version v1.1.1

Title of
InventionA SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A
GOAL BASED SYSTEM, UTILIZING A TABLE BASED
ARCHITECTURE

Submission Type: Information Disclosure Statement

Application Number: 09/868695

09/868695

EFS ID: 60064

Server Response:

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ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application
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First Named Applicant: Eren Rosenfeld

MAY 04 2004

Attorney Docket Number: 05222.00171

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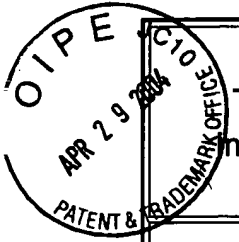
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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

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Stylesheet Version v18.0

Title of
Invention**A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE
FOR A GOAL BASED SYSTEM, UTILIZING A TABLE BASED
ARCHITECTURE**

Application Number: 09/868695

09/868695

Confirmation Number: 3233

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First Named Applicant: Eren Rosenfeld

MAY 04 2004

Attorney Docket Number: 05222.00171

Art Unit: 2121

Technology Center 2100

Examiner: Meltin Bell

Search string: (4622013 or 4874784 or 4931950 or 4964077 or 4977529 or
5002491 or 5170464 or 5189402 or 5208745 or 5208898 or
5239617 or 5259766 or 5310349 or 5311422 or 5317688 or
5326270 or 5359701 or 5372507 or 5395243 or 5441415 or
5491743 or 5533903 or 5535422 or 5537141 or 5539869 or
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6029159 or 6032141 or 6064998 or 6067537 or 6067538 or
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5267865 or 5987443 or 4891766).pn.

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That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

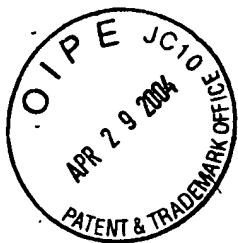
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	4622013	1986-11-11	Cerchio			
	2	4874784	1989-10-17	Smith			
	3	4931950	1990-06-05	Isle			
	4	4964077	1990-10-16	Eisen			
	5	4977529	1990-12-11	Gregg			
	6	5002491	1991-03-26	Abrahamson			
	7	5170464	1992-12-08	Hayes			
	8	5189402	1993-02-23	Naimark			
	9	5208745	1993-05-04	Quentin			
	10	5208898	1993-05-04	Funabashi			
	11	5239617	1993-08-24	Gardner			
	12	5259766	1993-11-09	Sack			
	13	5310349	1994-05-10	Daniels			
	14	5311422	1994-05-10	Loftin			
	15	5317688	1994-05-31	Watson			
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	20	5441415	1995-08-15	Lee			
	21	5491743	1996-02-13	Shiio			
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	29	5597312	1997-01-28	Bloom			
	30	5616033	1997-04-01	Kerwin			
	31	5644686	1997-07-01	Hekmatpour			
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	33	5673369	1997-09-30	Kim			
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	35	5696885	1997-12-09	Hekmatpour			

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	39	5727950	1998-03-17	Cook
	40	5745652	1998-04-28	Bigus
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	59	5974446	1999-10-26	Sonnenreich
	60	6003021	1999-12-14	Zadik
	61	6015348	2000-01-18	Lambright
	62	6016486	2000-01-18	Nichols
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	68	6026386	2000-02-15	Lannert
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	70	6029158	2000-02-22	Bertrand
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	72	6032141	2000-02-29	O'Connor
	73	6064998	2000-05-16	Zabloudil
	74	6067537	2000-05-23	O'Connor
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	77	6085184	2000-07-04	Bertrand
	78	6101489	2000-08-08	Lannert
	79	6125358	2000-09-26	Hubbell
	80	6134539	2000-10-17	O'Connor
	81	5267865	1993-12-07	Lee
	82	5987443	1999-11-16	Nichols
	83	4891766	1990-01-02	Derr

Signature

Examiner Name	Date



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 05222.00171)

In re U.S. Patent Application of Rosenfeld, et al.)

Application No. 09/868,695)

Filed: September 26, 2001)

For: A GOAL BASED SYSTEM, UTILIZING A TABLE BASED ARCHITECTURE)

Group Art Unit: 2121

Examiner: Bell

Confirmation No. 3233

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INFORMATION DISCLOSURE STATEMENT

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Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §§1.97 and 1.98, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 CFR §1.56. Copies of the references cited below are enclosed. The references are also listed on the enclosed and completed Form PTO/SB/08A.

The U.S. references were filed electronically on April 29, 2004. A copy of the Electronic Information Disclosure Statement is attached hereto.

The Commissioner is authorized to charge or credit any fees associated with this paper to Deposit Account No. 19-0733.

Under 37 CFR §1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made.

05/04/2004 WABDELK1 00000139 190733 09868695

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Under 37 CFR §1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the cited references are, or are considered to be, material to patentability as defined in 37 CFR §1.56(b).

The present patent application is related to the following co-pending patent applications:

09/502,348	09/218,977	09/218,726	09/868,693	09/868,669
09/219,055	09/218,749	09/218,995	09/868,698	09/868,713
09/219,080	09/219,479	09/218,945	09/868,694	09/868,667
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09/218,968	09/219,481	09/502,349	09/868,682	09/934,924
09/221,217	09/219,088	09/219,478	09/868,664	09/935,219
09/218,741	09/219,201	09/887,188	09/868,689	09/935,348
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09/219,086	09/218,906	09/887,824	09/868,708	
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Other Prior Art -- Non Patent Literature Documents

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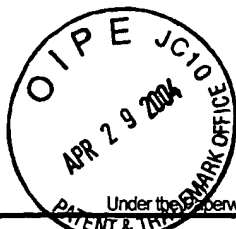
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Rosenfeld, et. al., U.S. Patent Application No. 09/868,695 for "A GOAL BASED SYSTEM, UTILIZING A TABLE BASED ARCHITECTURE"

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